

## UW Technology Working Group Sub-team Update

April 2010

### Background

In early 2009, the UW Technology Working Group (WG) formed sub-teams to advance the following goals:

- Establish a sustainable model for funding technology strategy and operations, including state (GOF), local (DOF), and recharge models
- Develop internal financial reporting mechanisms and models to assure accountability and control of resources
- Determine service delivery models for UW Technology in administrative and research information technology, looking at short- and long-term University needs
- Strengthen institutional oversight of self sustaining activities and broader controls for deficits and financial risk management
- Improve the organizational climate within UW Technology

The sub-teams completed their work with the following results:

| SubTeam                                     | Goal  | Current Status  | Next Steps  |
|---|---|---|---|
| <b>COMPLETE</b>                             |   |   |   |
| <b>UWTV</b>                                 | To develop a self sustaining business plan                    | <b>Complete.</b> Upon approval by President, UWTV transferred to External Relations effective October 2009.                       | <b>None</b>   |
| <b>UW Tech Internal Financial Reporting</b> | To develop financial and management reports for UW Technology | <b>Complete.</b> Reports reviewed by WG and will be used by UW Technology Business & Finance to inform managers of budget status. | <b>None</b>   |
| <b>Deficit Policy</b>                       | To develop a University-wide deficit policy                   | <b>Complete.</b> WG approved draft policy Nov 4 and “handoff” to Planning & Budgeting (P&B) for final implementation.             | P&B work with Rules Coordination Office to establish deficit resolution policy as an Administrative Policy Statement (APS) by April 2010. |
| <b>Financial Risk</b>                       | To create a framework for oversight of self sustaining units  | <b>Complete.</b> WG approved draft policy Nov 4 to include annual review of top 6-8 non-audited units by P&B/FM.                  | <b>Ongoing.</b> FM schedule review of top 6- 8 non-audited units on an annual basis.  |

| SubTeam  | Goal  | Current Status  | Next Steps   |
|--|---|---|--|
| <b>UW Tech Climate Culture and Engagement</b>                  | To identify and recommend program to build and sustain trust throughout UW Tech and facilitate resiliency and staff engagement  | <b>Complete.</b> WG approved recommendations Nov 4 and “handoff” to Kelli Trosvig and V’Ella Warren for next steps.   | <b>None</b>  |
| <b>NEARLY COMPLETE</b>   |   |   |  |
| <b>Financial Management Awareness</b>                          | To provide Vice Provosts, Vice Presidents, Deans, and Chancellors the necessary tools, training, and information to effectively manage the financial responsibilities for their organizations | <b>Nearly Complete.</b> The team developed a Web-based portal and financial toolkit to assist UW senior leaders. Initial draft approved by WG Nov 18.             | Finalize toolkit as a Web page, and collect feedback by April 2010.        |
| <b>Technology Strategy Governance</b>                          | To develop framework for evaluating technology opportunities that better align with institutional strategies  | <b>Nearly Complete.</b> The WG recommended establishing a strategic advisory group and is providing potential membership to President/Provost for final approval. | Initial rollout Summer/Fall 2010.  |
| <b>EFFORTS TO CONCLUDE 2010</b>                                |   |   |  |
| <b>Funding/Recharge Model</b>                                  | To develop a sustainable funding model for supporting UW Technology   | Consultant (WTC) working with sub-team, Implementation Team, and WG established recharge fee based on per capita and identified basic services.                   | Continue campus communication. Implement July 1, 2010.                     |
| <b>Service Delivery Model (“General” and Research)</b>         | To ensure services align with needs of campus and researchers, in particular  | Incorporated into Funding/Recharge Model efforts.   |  |
| <b>Funding Commitment Process</b>                              | To develop process for explicit documentation/approval for funding commitments  | P&B presented draft funding commitment approval process to WG at March 24, 2010 meeting.  | Link funding commitment approval process with Financial Awareness Toolkit. |
| <b>Expand Role of Management Accounting and Analysis (MAA)</b> | To consider broadening recharge review process to include wider scope of potential recharge activities  | MAA presented draft recommendations to WG; will incorporate feedback and review at April WG meeting.  | WG discussion April 2010.  |